



Callaway Financial Services, Inc.
Synergy Securities
2245 Texas Dr., Suite 300
Sugar Land, TX 77497
Phone: (954) 707-0586

PRIVACY POLICY

Callaway Financial Services is committed to keeping the personal information collected from our potential, current and former customers confidential and secure. The proper handling of personal information is one of our highest priorities. We want to be sure that you know why we need to collect personal information from you. We also want to explain to you our commitment to protect the information you provide to us. We never sell your information to any outside parties.

Why We Collect Your Information?

The firm gathers information about you and your accounts so that we can (i) know who you are and thereby prevent unauthorized access to your information, (ii) design and improve the products and services we offer and (iii) as part of the government's effort to combat terrorism and other illegal activity, we collect certain personal information from you to verify your identity. We are required by federal law to collect this information and verify your identity prior to doing business with you.

What Information We Collect?

We may collect the following types of non-public personal information about you such as:

- Information about your identity, such as your name, address and Social Security number;
- Information about your transactions with us; and
- Information we receive from you on applications, such as your finances or employment status.

What Sources We Use to Obtain Your Information?

We collect non-public personal information about our clients from the following sources:

- Information we receive from you on applications or other forms; and
- Information about your transactions with us, or others.

What Information We Disclose?

We do not disclose any non-public personal information about our customers or former customers to anyone, except as permitted or required by law. Moreover, we will not release information about our customers or former customers unless one of the following conditions is met:

- We receive your prior written consent;
- We believe the recipient to be you or your authorized representative; or
- We are required by law to release information to the recipient.

We only use information about you and your account(s) to help us better serve your investment needs or to suggest services or educational materials that may be of interest to you.

Confidentiality and Security

We maintain physical, electronic and procedural safeguards to protect your personal account information. We also restrict access to your personal and financial data to our authorized associates who have a need for these records. Companies we hire to provide support services are not allowed to use your personal information for their own purposes and are contractually obligated to maintain strict confidentiality. Furthermore, we will continue to adhere to the privacy policies and practices described in this notice even after your account is closed or becomes inactive.

We will continue to conduct our business in a manner that conforms with our pledge to you, to your expectations and all applicable laws.